



**Office of Enterprise Compliance Report - Matrix
November 2008**

Attachment A

	DATE OF ASSIGNMENT	STATUS
1. Program Activities	Ongoing	<p>On September 25, 2008, hosted the Quarterly Teleconference for the Council of Compliance Officers. The third quarter teleconference was attended by 21 Compliance professionals from various public pension funds throughout the U.S. and Canada.</p> <p>The Assistant Chief conducted two sessions of New Employee Orientation, CalPERS "Working Values" in September and October.</p> <p>In October, the CCO joined the Pubic Pension Financial Forum (P2F2) in conducting compliance professionals education and training at the annual P2F2 conference in Mobile, Alabama. The compliance tract of the conference consisted of eight individual training courses. Conference attendees included investment professionals, accountants, auditors, attorneys and CCO's from public pension plans in the U.S. and Canada.</p> <p>Two ECOM personnel have become certified as Compliance and Ethics Professionals (C.C.E.P.)</p>
2. Reporting Non-Compliance Issues	Ongoing	Ongoing
3. Compliance Area Manager - Investments	Ongoing	<p>Compliance Area Manager (CAM), Investments is monitoring daily and providing weekly summary of compliance alerts to CCO. User access to automated systems monitored and monthly reconciliation reports reviewed.</p> <p>Worked with CalPERS Investments Office and Legal Office on Investment policy review.</p>



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4. Compliance Area Manager-Health	Ongoing	Worked with Health business line to assess risk of non-compliance with policies, rules, and regulations to determine compliance exposures and impact to CalPERS.
5. Compliance Area Manager- Pension	Ongoing	Worked with Pension business line to assess risk of non-compliance with policies, rules, and regulations to determine compliance exposures and impact to CalPERS.